



Monex Europe Markets Limited

**Monex Europe Markets Ltd**

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Section 172(1) statement  
For the year ended 31 December 2021

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Section 172 of the Companies Act 2006 requires the directors to act in a way that they consider, in a good faith, would be most likely to promote the success of the Company for the benefit of its members as a whole. Promoting success of the business in this manner, among other matters, is a key focus of the Board of Directors ("Board").

The Company's immediate parent is Monex Europe Limited, which is a wholly owned subsidiary of Monex Europe Holdings Limited. Monex Europe Holdings Limited and its subsidiaries are referred to here as "group". During the year, keeping the long-term interest of the business in view, the Board started the process of setting up two new licensed entities within the EU. The Board recognises that after Brexit, there is a need for businesses to expand current operations to maintain access to the European markets.

Maintaining positive relationships with customers has been key to client retention. Competitive pricing with a suitable product offering to the customer base has been at the core of the successful growth of the Company. Throughout the ongoing COVID-19 situation, the Company has continued to provide full services to its clients without any disruptions.

As an FCA regulated business, the principle of "Treating Customers Fairly" and maintaining high standards of business conduct are the main values of the Company. There are processes in place for customer complaint handling and dispute resolution in addition to staff being given regular training sessions on business conduct.

The Company does not have any employees. The staff that work directly on the activities of the Company are employed by the parent Company, Monex Europe Limited. The matters in relation to the employees are discussed in the annual report of Monex Europe Limited.

The Board is aware of the importance of a strong relationship with the suppliers of the Company. The Company has a process in place to ensure that suppliers are consistently paid on time and there is sufficient communication between the Company and its supplier base.

The Company recognises the importance of conducting its activities with due care and regard for the environment. The Company is committed to reducing waste, and actively pursues the use of recyclable products. The Company is part of the group that endeavours to contribute to good causes to support the local community. During the year, the group made donations to charities.

Approved by the board and signed on their behalf by:

A handwritten signature in blue ink, appearing to read "N D Burns".

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**N D Burns**  
**Director**

Date: 26 April 2022